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# Defect Chemistry of Y Doped BaTiO<sub>3</sub>

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**Abstract.** Defect chemistry of Y doped BaTiO<sub>3</sub> was investigated as a function of the Ba/Ti ratio. When the Ba/Ti ratio was greater than unity,  $Y^{3+}$  was substituted for the normal Ti site and the equilibrium conductivity showed a strong evidence of acceptor-doped behavior. With the Ba/Ti ratio < 1,  $Y^{3+}$  was substituted for the Ba site and the equilibrium conductivity showed donor-doped behavior. In the case excess  $Y_2O_3$  was added to the stoichiometric BaTiO<sub>3</sub>(Ba/Ti = 1), the conductivity profile showed a donor-doped behavior at low concentrations (<1.0 mol%), whereas, at higher donor levels (>2.0 mol%), the equilibrium conductivity minimum shifted toward lower Po<sub>2</sub>, indicating acceptor doped behavior.

Keywords: BaTiO<sub>3</sub>, Y<sub>2</sub>O<sub>3</sub>, conductivity, nonstoichiometry, solubility

#### 1. Introduction

 $Y_2O_3$  is known as an effective additive for improving the insulating resistance of multilayer ceramic capacitor(MLCC) with Ni-electrodes [1]. There have been reported two different models to explain the improvement in the life time of  $Y_2O_3$  doped BaTiO<sub>3</sub>. Nakano et al. suggested the A-site model that  $Y^{3+}$  ion acts as donor and reduces the concentration of the oxygen vacancies accompanied by acceptor type dopant such as MnO [1]. Hitomi et al. proposed the B-site model that  $Y^{3+}$  ion acts as acceptor and reduces the bulk diffusivity of oxygen vacancies through strain and electric field interactions [2]. It is thus necessary to understand the defect chemistry of Y-doped BaTiO<sub>3</sub> and define the role of  $Y_2O_3$  on the degradation behavior of MLCC with Ni-electrodes.

The size of  $Y^{3+}$  ion(0.9 Å) is almost halfway between  $Ba^{2+}$  ion(1.4 Å) and  $Ti^{4+}(0.6 Å)$  ion. Thus,  $Y^{3+}$  could be accommodated on either cation site in BaTiO<sub>3</sub> lattices, depending on the Ba/Ti ratio [3]. It was reported that  $Y^{3+}$  acts as acceptor in the presence of excess BaO(Ba/Ti >1) and as a donor in the presence of excess TiO<sub>2</sub>(Ba/Ti <1) [4, 5]. It was also suggested that  $Er^{3+}$  can occupy both  $Ba^{2+}$  site and  $Ti^{4+}$  site simultaneously, bringing about the donor-acceptor self-compensation [4, 6]. However, Xue et al. reported that  $Y^{3+}$  shows a clear donor character when  $Y_2O_3$  is added to the stoichiometric  $BaTiO_3(Ba/Ti = 1)$  [5], while  $Er^{3+}$  exhibits predominantly self-compensating behavior [6]. Possible modes of incorporation reaction of  $Y^{3+}$  ions into  $BaTiO_3$  lattices are as follows: (i) Y substitution for Ba sites (Ba/Ti <1): donor

$$Y_2O_3 + 2TiO_2 \rightarrow 2Y^{\bullet}_{Ba} + 2Ti_{Ti} + 6O_O + 1/2O_2 + 2e'$$
 (1)

$$3Y_2O_3 + 6TiO_2 \rightarrow 6Y^{\bullet}_{Ba} + 6Ti_{Ti} + V^{"}_{Ba} + V^{""}_{Ti} + 21O_0$$
 (2)

(ii) Y substitution for Ti sites (Ba/Ti > 1): acceptor

$$2BaO + Y_2O_3 \rightarrow 2Ba_{Ba} + 2Y'_{Ti} + 5O_0 + V_0^{\bullet\bullet}$$
 (3)

(iii) Equal population of Y ions on Ba sites and Ti sites (Ba/Ti = 1): self-compensation

$$Y_2O_3 \rightarrow Y_{B_2}^{\bullet} + Y_{T_1}' + 3O_0 \tag{4}$$

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The defect notation is that proposed by Kroger and Vink [7]. According to Eqs. (1) and (2), Y ion carries an excess positive charge relative to the ideal Ba lattice. Thus, for the maintenance of charge neutrality condition,  $Y_{Ba}^{\bullet}$  could be compensated by electrons or by cation vacancies such as Ba vacancy and/or Ti vacancy [8–10]. However, for the cation vacancy compensation, a consistent defect model is not established yet. The defect species, Y<sub>Ti</sub> is compensated by the corresponding number of oxygen vacancies as shown in Eq. (3) [11, 12]. Equation (4) shows that  $Y^{3+}$  may replace both cation sites and give rise to self-compensation, i.e. donor-acceptor compensation [4, 5]. In this paper, the defect chemistry of Y doped BaTiO<sub>3</sub> will be discussed in terms of the solubility, acceptor and donor behavior, and mode of substitution of Y into BaTiO<sub>3</sub> lattices.

### 2. Experimental Procedure

Y-doped BaTiO<sub>3</sub> powders were prepared using the liquid mix method developed by Pechini [13]. Each sample composition was prepared by polymerization and calcination of an organometallic solution containing precisely determined amounts of the metallic components as described in the previous work [14]. The calcined powders were pressed into rectangular bars at 150 MPa. The samples were sintered at 1320°C for 5 h in air and furnace-cooled. The conductivity was measured with a four-point dc technique, using constant applied current to maintain a voltage drop of  $\sim$ 0.5 V in the temperature range 1200°C to 1300°C. The desired oxygen partial pressures were obtained from mixtures of N<sub>2</sub>–O<sub>2</sub> and CO-CO<sub>2</sub>. The effect of Y<sub>2</sub>O<sub>3</sub> addition on the microstructure development was investigated for the as-fired surface of the sintered body using a scanning electron microscope.

### 3. Results and Discussion

Figure 1 shows the equilibrium electrical conductivity profiles measured as a function of oxygen partial pressure, at 1200°C, for the samples of Ba(Ti<sub>1-x</sub>Y<sub>x</sub>)O<sub>3-0.5x</sub> with x = 0, 0.002, 0.02, 0.03 and 0.05. As Y<sup>3+</sup> is substituted for the Ti sites up to 2.0 mol%, the conductivity increases in the *p*-type region and decreases in the *n*-type region around the conductivity minimum, and the conductivity minimum shifts to lower Po<sub>2</sub>, which



*Fig. 1.* Equilibrium electrical conductivity of  $Ba(Ti_{1-x}Y_x)O_{3-0.5x}$ (*x* = 0, 0.002, 0.02, 0.03 and 0.05).

is similar to the previous reports of acceptor-doped BaTiO<sub>3</sub> [11, 12]. The substitution of  $Y^{3+}$  for Ti<sup>4+</sup> generates charged defects, the negatively charged defect  $Y'_{Ti}$  will be formed, and the corresponding number of positively charged oxygen vacancies will satisfy the site balance and charge neutrality condition according to Eq. (3). Addition of  $Y_2O_3$  as acceptor impurities also causes the conductivity minima to be flattened as shown Fig. 1. The broad and flattened conductivity minimum is typical for acceptor-doped samples and due to the contribution of the Po<sub>2</sub>-independent ionic conduction which results from the extrinsic oxygen vacancies introduced by the acceptor impurity [11, 15]. As the Y content was increased further to 3.0 mol% and greater, the conductivity showed a general suppression of the entire curve compared with Ba(Ti<sub>0.98</sub>Y<sub>0.02</sub>)O<sub>2.99</sub>. This indicates that the solubility limit for Y<sub>Ti</sub>' is assumed to be equal to  $\sim 3.0 \text{ mol}\%$  or less, even though the observed solubility is dependent on the precise processing details such as sintering time and temperature [6].

It is well known that BaTiO<sub>3</sub> doped with small amounts of donor impurities (<0.5 mol%) on either A or B sites exhibits a semiconducting behavior due to the electronic compensation as expressed in Eq. (1), and then reverts to the cation vacancy compensation mode as shown in Eq. (2), such as  $V_{Ta}''$ , resulting in insulating materials for donor concentrations greater than 0.5 mol% [8, 9]. It was reported that the equilibrium electrical conductivity follows the -1/4th power dependence of Po<sub>2</sub>when the donor impurities are compensated by cation vacancies such as Ba vacancy and/or Ti vacancy [16]. Figure 2 shows the



*Fig.* 2. Equilibrium electrical conductivity of  $(Ba_{1-x}Y_x)TiO_{3+0.5x}$ (*x* = 0, 0.002, 0.005, 0.01 and 0.02).

equilibrium electrical conductivity profiles measured as a function of oxygen partial pressure, at 1300°C, for the samples of  $(Ba_{1-x}Y_x)TiO_{3+0.5x}$  with x = 0, 0.002, 0.005, 0.01 and 0.02. When  $Y^{3+}$  is substituted for Ba sites as a donor,  $Y^{3+}$  is compensated either by electrons or by cation vacancies. At a heavily reduced atmosphere,  $Y^{3+}$  is compensated by electron, whereas in ambient atmosphere,  $Y^{3+}$  is compensated by metal vacancies and the conductivity profile shows the -1/4th dependence of oxygen partial pressure. This conductivity behavior is similar to the previous results on the donor doped BaTiO<sub>3</sub> [10, 16]. The electrical conductivity at low Po2 gradually increases with Y content, which indicates that  $Y_{Ba}^{\bullet}$  is compensated by electrons according to Eq. (1). At the ambient atmosphere, conductivities follow the -1/4th power Po<sub>2</sub> dependence by the cation vacancy compensation.

Figure 3 shows the equilibrium electrical conductivities as a function of oxygen partial pressure, at 1300°C, for the samples of  $(BaY_x)(TiY_x)O_3$  with x = 0, 0.005, and 0.01. When 1.0 mol% (x = 0.005) Y was added to the stoichiometric BaTiO<sub>3</sub>, the conductivity profile showed a donor-doped behavior. However, with 2.0 mol% (x = 0.01), the equilibrium conductivity is separated by a minimum and the conductivity minimum shifts toward lower Po2, indicating the acceptor doped behavior. This implies that at lower levels of  $Y_2O_3$  addition,  $Y^{3+}$  ions effectively incorporate into Ba sites instead of Ti sites, whereas at higher levels (>0.5 mol%) the cation vacancy compensation mode as shown in Eq. (2) is predominant for the Ba site defect  $(Y_{Ba}^{\bullet})$  and the Ti site substitution of  $Y(Y_{Ti})$  will



*Fig. 3.* Equilibrium electrical conductivity of  $(BaY_x)(TiY_x)O_3$  (x = 0, 0.005 and 0.01).

accompany oxygen vacancies. Thus when 1.0 mol% Y was added to BaTiO<sub>3</sub> with Ba/Ti = 1.000, this was within the solubility limit on both sites, and the results indicate some small preference for A-sites, which gave donor-doped behavior. When 2.0 mol% Y was added, however, the A-sites could accept less than half of this, while the B-sites could accept more than 1.0 mol%, so the net result was acceptor-doped behavior. This explains why the behavior switched from donor-doped to acceptor-doped when the Y level was increased from 1.0 to 2.0 mol%. This incorporation behavior is totally different from the self-compensation mode of the Ersubstitution into BaTiO<sub>3</sub> [6].

The microstructures of Ba $(Ti_{1-x}Y_x)O_{3-0.5x}$  samples with x = 0, 0.002, 0.02, 0.03 and 0.05 are shown in Fig. 4. When Y content was greater than 2.0 mol%, poor densifications were observed with a large amount of residual porosity. The overall suppression of electrical conductivities of the specimen (x = 0.03 and 0.05) in Fig. 1 may be attributed to the interference with conduction processes by the poor densification of sintered specimens (75% theoretical density for x = 0.03and 52% for x = 0.05). This also supports that the solubility limit of Y on the Ti site is less than 3.0 mol%. It is well known that BaTiO<sub>3</sub> doped with more than 0.5 mol% of donor impurities yields cation vacancy compensation and results in the material being fine-grained  $(1-2\Box)$  [17]. In Fig. 5, at the low level of Y-substitution (x = 0.2 mol%), the grain size is fairly large, whereas at the Y-substitution larger than 0.5 mol%, the grain size significantly decreases. This is in good agreement with the previous results [17, 18]



*Fig.* 4. Microstructure of (a)  $BaTi_{0.998}Y_{0.002}TiO_{2.999}$ , (c)  $BaTi_{0.98}Y_{0.02}O_{2.99}$ , (d)  $BaTi_{0.97}Y_{0.03}O_{2.985}$  and (e)  $BaTi_{0.95}Y_{0.05}O_{2.975}$  sintered at 1320°C for 5 hr.



*Fig.* 5. Microstructure of (a)  $BaTiO_3$ , (b)  $Ba_{0.998}Y_{0.002}TiO_{3.001}$ , (c)  $Ba_{0.995}Y_{0.005}TiO_{3.0025}$ , (d)  $Ba_{0.99}Y_{0.01}TiO_{3.005}$  and (e)  $Ba_{0.98}Y_{0.02}TiO_{3.01}$  sintered at 1320°C for 5 hr.

and confirms the Y substitution for Ba sites as a donor impurity. Figure 6 shows the microstructures of  $(BaY_x)(TiY_x)O_3$  having x = 0, 0.005 and 0.01. The sample doped with 1.0 mol% (x = 0.005) showed small grain sizes about  $2\Box$ , whereas the sample doped with 2.0 mol% (x = 0.01) exhibited larger

grain sizes. This microstructural development is consistent with electrical conductivities shown in Fig. 3. The specimen with small grains (x = 0.005) exhibits donor-doped behavior, whereas the large grained microstructure (x = 0.01) in Fig. 6(c) is compatible with the acceptor-doped behavior.



Fig. 6. Microstructure of (a)  $BaTiO_3$ , (b)  $(BaY_{0.005})(TiY_{0.005})O_3$  and (c)  $(BaY_{0.01})(TiY_{0.01})O_3$  sintered at  $1320^{\circ}C$  for 5 hr.

## 4. Conclusions

The substitution of  $Y^{3+}$  for the Ti site in Ba $(Ti_{1-x}Y_x)O_{3-0.5x}$  led to a significant shift in the conductivity minimum and showed an acceptor-doped behavior. When Y content was larger than 2.0 mol%, poor densifications were observed with a large amount of residual porosity. The solubility of Y on the Ti site was confirmed to be less than 3.0 mol%. The equilibrium electrical conductivity of  $(Ba_{1-x}Y_x)TiO_{3+0.5x}$  follows the -1/4th power Po<sub>2</sub> dependence at the high oxygen activity region, which indicates that Y<sup>3+</sup> is compensated by metal vacancies in ambient atmosphere. When 1.0 mol% Y was added to the stoichiometric BaTiO<sub>3</sub>, the conductivity profile showed a donor-doped behavior, whereas with 2.0 mol%, the equilibrium conductivity is separated by a minimum and the conductivity minimum shifts toward lower Po2, indicating acceptor doped behavior. It was thus confirmed that Y can be substituted for Ba or/and Ti sites depending on the Ba/Ti ratio because of the intermediate ionic radius.

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